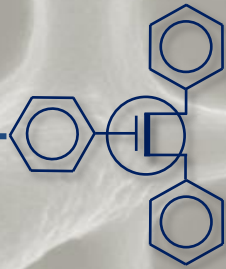


# Continuous and scalable manufacturing of macro-, micro- and nanoscale structures using roll-to-roll processing

*Jim Stasiak  
Sensing Systems Laboratory  
Technology Development Operations Engineering  
Hewlett-Packard Company  
Corvallis, Oregon*



Mag	E-Beam	FWD	Spot	Det	_____	2 $\mu$ m
50.0 kX	3.00 kV	4.843	3	TLD-S	LLL051216-4 18-sec dev, CV Master, P.A1, 0°	



**B8 Inkjet pen assembly**



•Plastic Fab/R2R Proto



## HP Corvallis, Oregon Site

- HP's largest R&D site
- Began operations in 1976
- 2 million square feet
- Focus on new IJ and Emerging Tech. product development
- ~2,000 people on-site.
- 500 engineers in Technology Platform Dev. (Chemists, Physicists, Mat-Sci, ChemE, EE, CS, ME...)
- Corvallis has 2<sup>nd</sup> highest patent per capita rate in the United States.

**B5 fab**  
40,000 Sq ft

- Laser micro machining
- Micro-mech assembly
- Interconnect

**ITP lab space**  
•100,000 sq ft site wide

**B2 fab**  
52,000 Sq ft  
MOS & MEMS

- Thin film dep
- Photo
- Deep Etch
- New materials

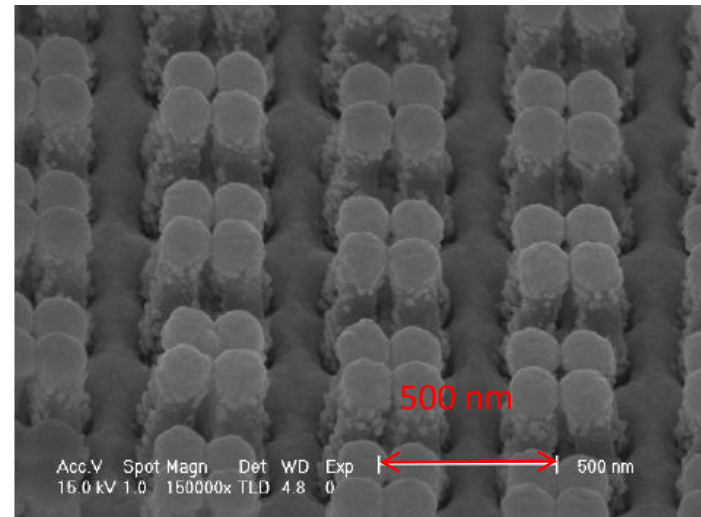
**B3 development fab**  
60,000 Sq ft  
Class 1 capable

- Micromachining
- Nanotechnology
- Interconnect & Pkg
- Electroforming

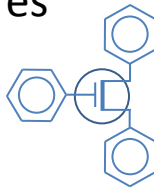


## Outline:

1. Motivation
2. Developing Imprinting, Embossing and Roll-to-Roll Manufacturing Methods  
Two examples:
  - Alignment and Registration Tolerant Lithography
  - SERS-based Chemical and Biological Sensors and Sensing Systems
3. Digital Fabrication, Printable Electronics, MEMS, and Materials
4. Summary

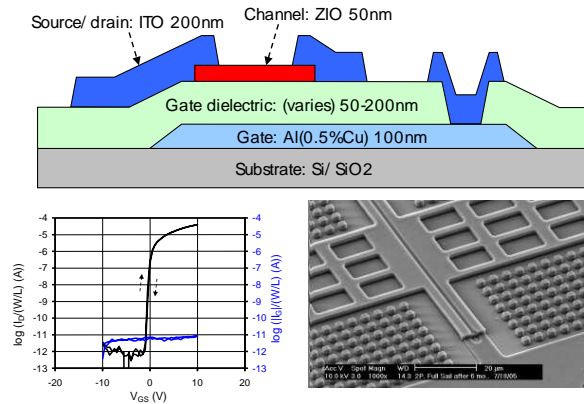






## Motivation:

Active research and development programs leveraging multi-scale embossing, novel materials and roll-to-roll processing:

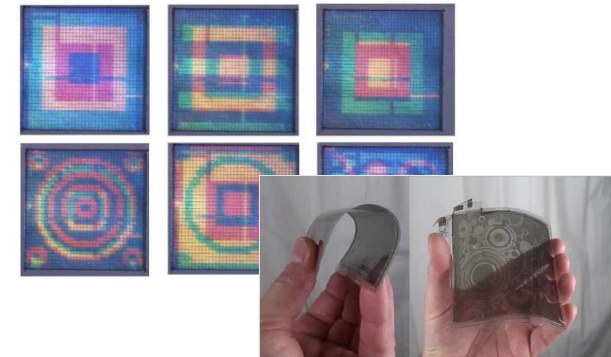


Distortion-tolerant, high performance flexible devices and circuits incorporating metal oxide semiconductor materials.

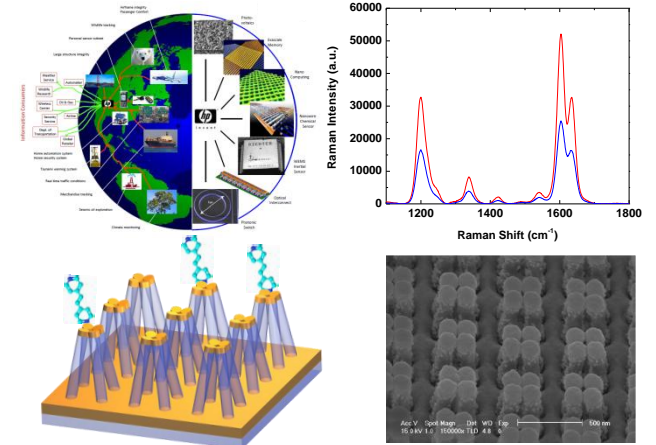


Integration of digital printing, digital fabrication and “functional inks” enabling Smart Packaging and Smart Labels.

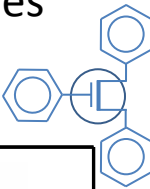
Stacking of Subtractive Electro-Optic Layers



Flexible, active matrix, full-color electrophoretic displays and signage.



CeNSE: “Central Nervous System for the Earth” e.g. Chemical and biological sensors based on nanofabricated SERS substrates.



## Self-Aligned Imprint Lithography (SAIL)

Media independence will be a key requirement for integrating electronics, MEMS and microfluidics with Smart Packaging applications.

- Plastics
- Paper
- Cardboard
- Foil
- ...

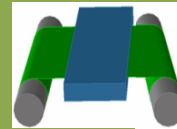
...significant challenges arise due to:

- Substrate distortion (esp. multi-masking demands for TFTs, etc.)
- Temperature limitations
- Surface quality of media
- Thermal mismatch

By “trading” lithography and masking operations for a series of etching processes, HP Labs’s R2R compatible SAIL lithography process solves layer-to-layer alignment and distortion problems for flexible substrates

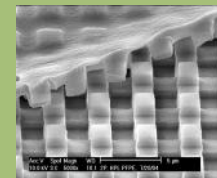
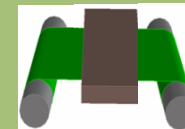
Photolithography	<p>Multiple masking and alignment steps required</p>	<p>Different mask used to pattern each layer</p>	<p>Process induced distortion of 1000 ppm results in 100 <math>\mu\text{m}</math> misalignment over 10 cm web</p>
SAIL	<p>Multiple patterns and alignments encoded into thickness modulations of a monolithic masking structure</p>	<p>Single mask used multiple times to pattern all the layers</p>	<p>No misalignment because mask distorts with substrate</p>

### Deposition



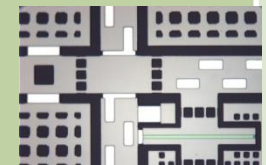
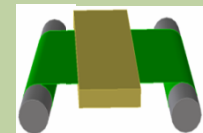
Vacuum deposition of metals, dielectrics, & semiconductors

### Imprint

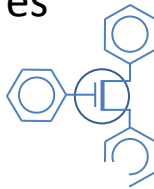


Multiple mask levels imprinted as single 3D structure

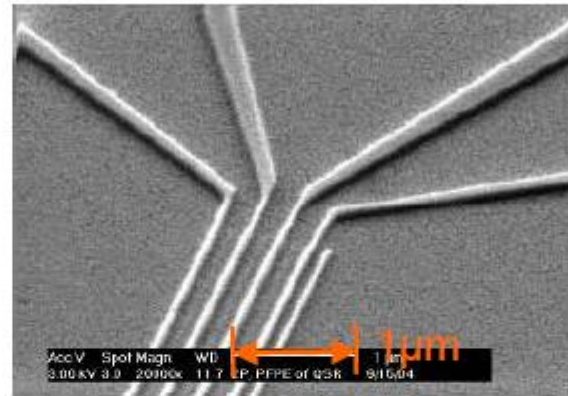
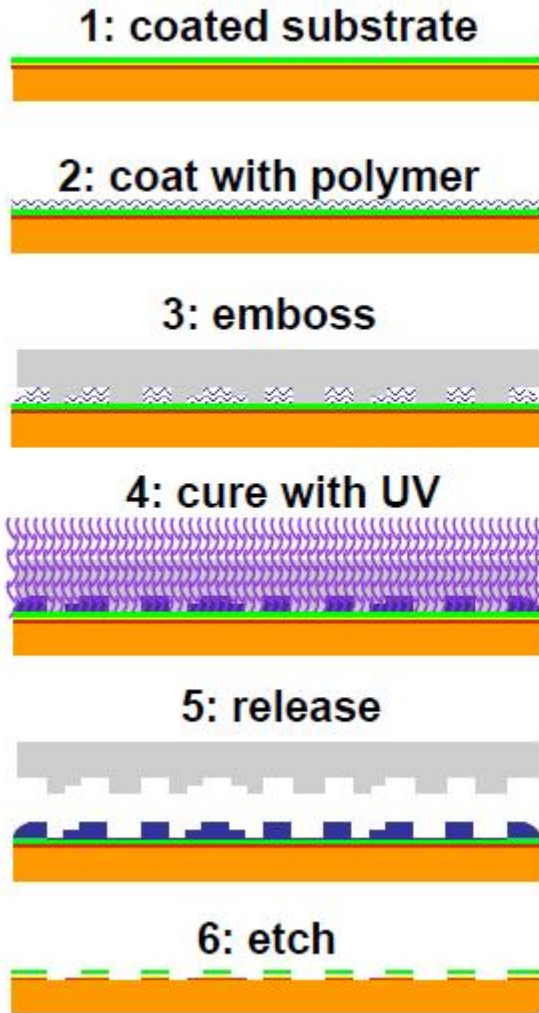
### Etch



Patterning completed w/ wet & dry processes



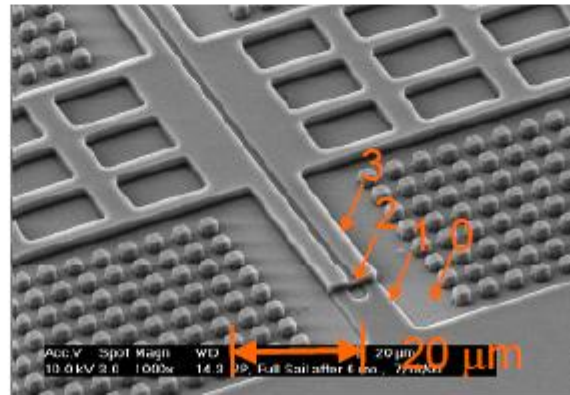
## Fabrication of TFT's using Self-Aligned Imprint Lithography (SAIL)



~40nm lines on 50μ polyimide

Pixel speed depends linearly on mobility but inversely with the square of channel length

$$t_{pixel} \approx \frac{2L^2}{\mu(V_G - V_T)}$$



4 levels in 0.5 μ step heights

Multilevel structures on flex at 5m/min





## Evolution of R2R Imprint/Emboss Tool Development at HP Labs

13" production solar cell deposition



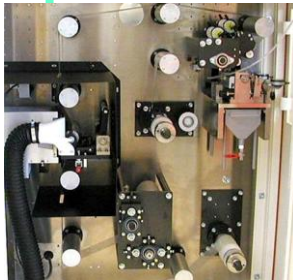
13" wet etcher



13" drum PECVD



4" imprinter



13" drum RIE



13" drum sputter



13" RIE



10" drum PECVD



13" imprinter

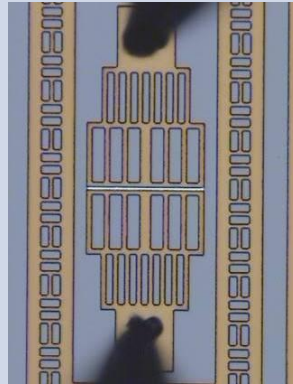
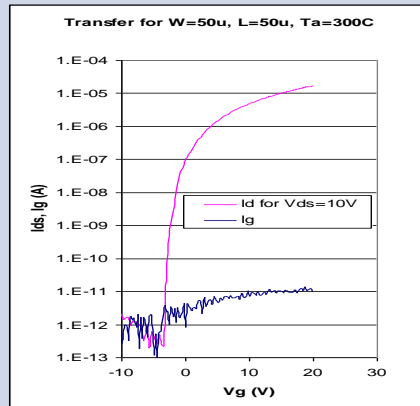




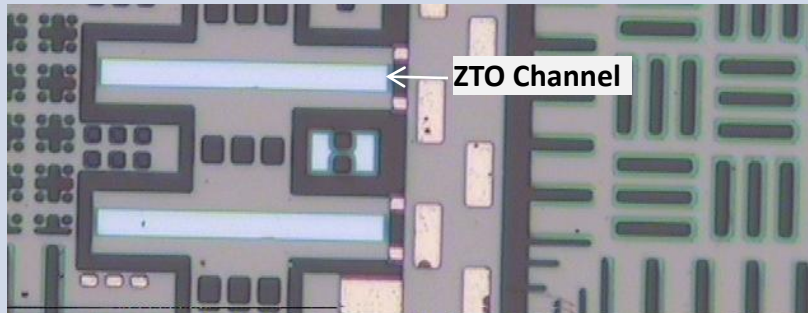
## Recent accomplishments:

### First MCO-based TFTs fabricated using SAIL

Mobility 10-20  $\text{cm}^2 \text{V}^{-1} \text{sec}^{-1}$   
On-off ratio  $10^7$

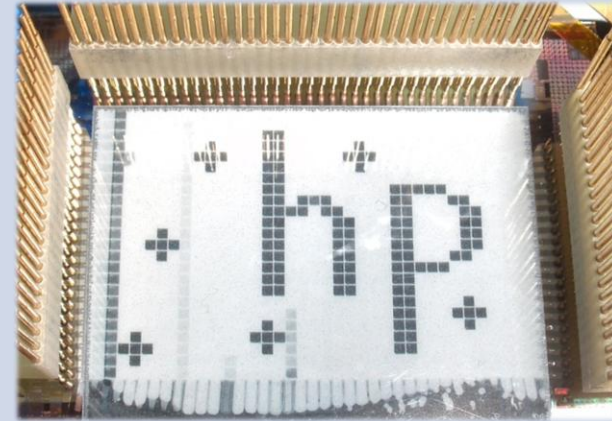


Individual SAIL ZTO Transistors on C-Si-thermal oxide gate dielectric

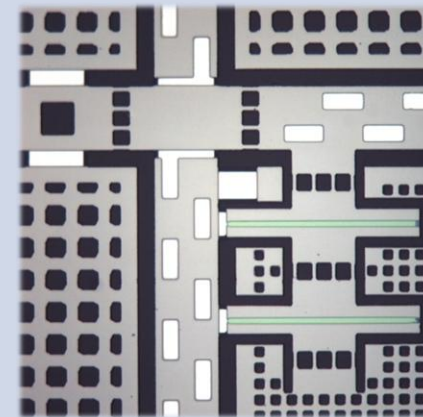


Full SAIL ZTO Transistors on Polyimide

### World's first R2R active matrix display



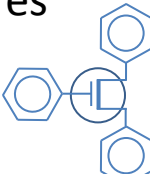
E Ink frontplane and backplane each made with R2R process



SAIL Backplane on flexible substrate pixel detail

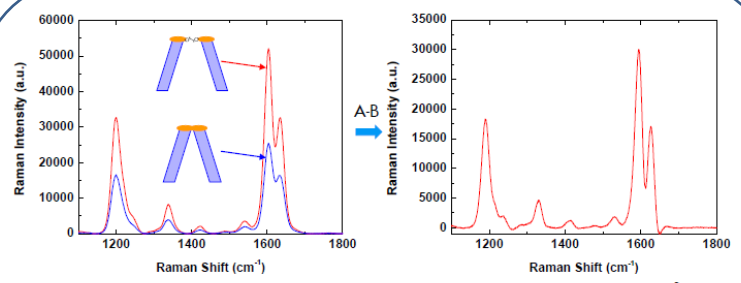
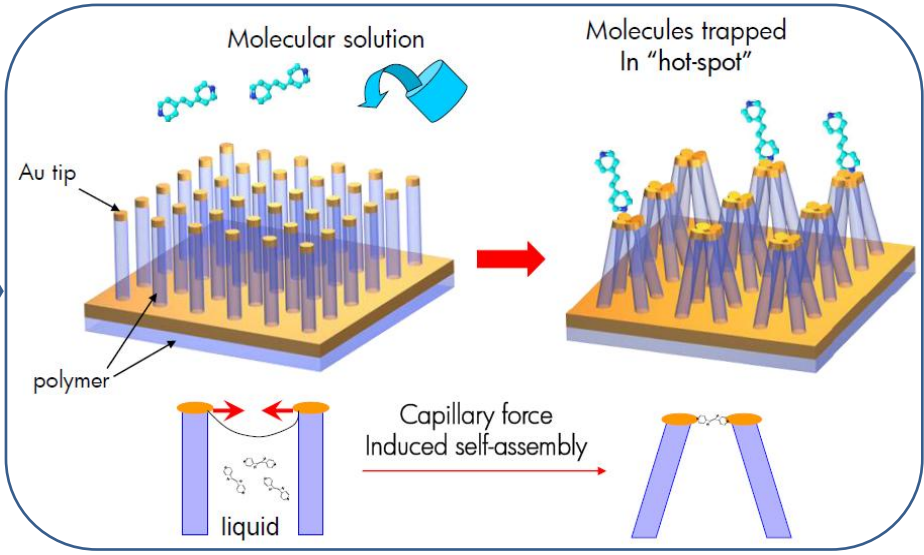
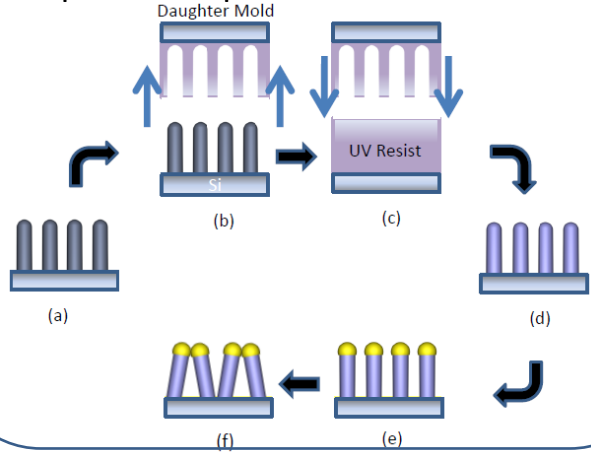
Demonstrated at the Flexible Display Conference in Phoenix Arizona, February 2009





## Nano-imprinted "Molecular Tweezers" for SERS sensing:

Mastering, stamp fab., imprinting and Au nanoparticle deposition

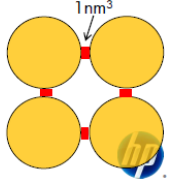


Molecules trapped in the fingers contributed 60% Raman signal!

5 molecules/gap, 25 fingers/ $\mu\text{m}^2$ , laser spot  $\sim 2\mu\text{m}^2$ ,  
 $\therefore$  250 molecules trapped between the finger tips

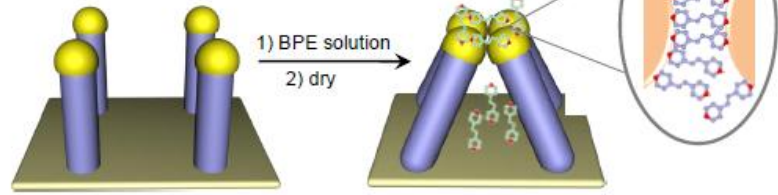
$$EF = \frac{I_{SERS} / N_{SERS}}{I_{bulk} / N_{bulk}} = \frac{30000 / 250}{40 / (125 \mu\text{m}^3 \times 0.1M \times N_{avogadro})} = 2 \times 10^{10}$$

Hu, M. et. al. *J. Am. Chem. Soc.* **2010**, 132, 12820.



SERS of trapped molecules

Raman hot-spot  
 BPE-trapped gold nanofingers



Molecule trapping between the finger tips



## “Lab-scale” fabrication of “molecular tweezer” arrays at HP Labs Palo Alto

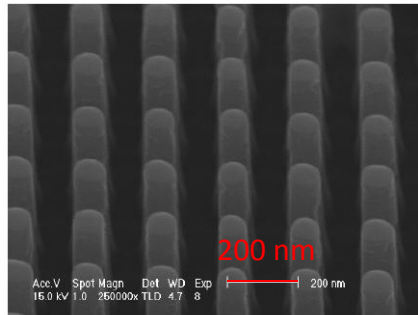


Figure S2: SEM image showing the silicon mold fabricated using nanoimprint lithography

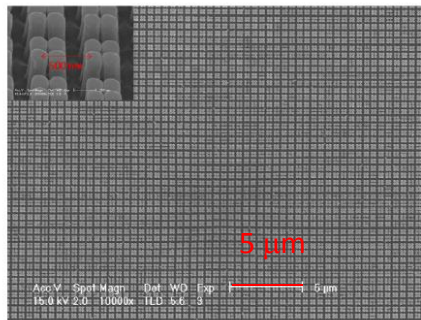


Figure S4: A low magnification top-view SEM image showing the closed gold fingers coated with 80nm sputtered Au film with a pitch of 500 nm. The inset shows a tilted view of the fingers at high magnification.

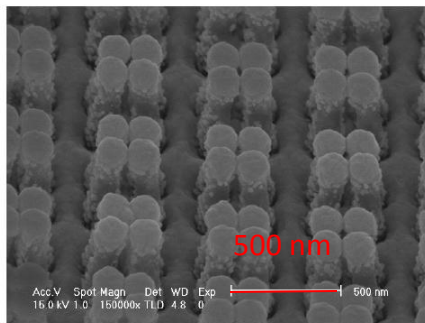


Figure S5. Tilted view SEM image showing the closed fingers coated with 80 nm E-beam evaporated Au film.

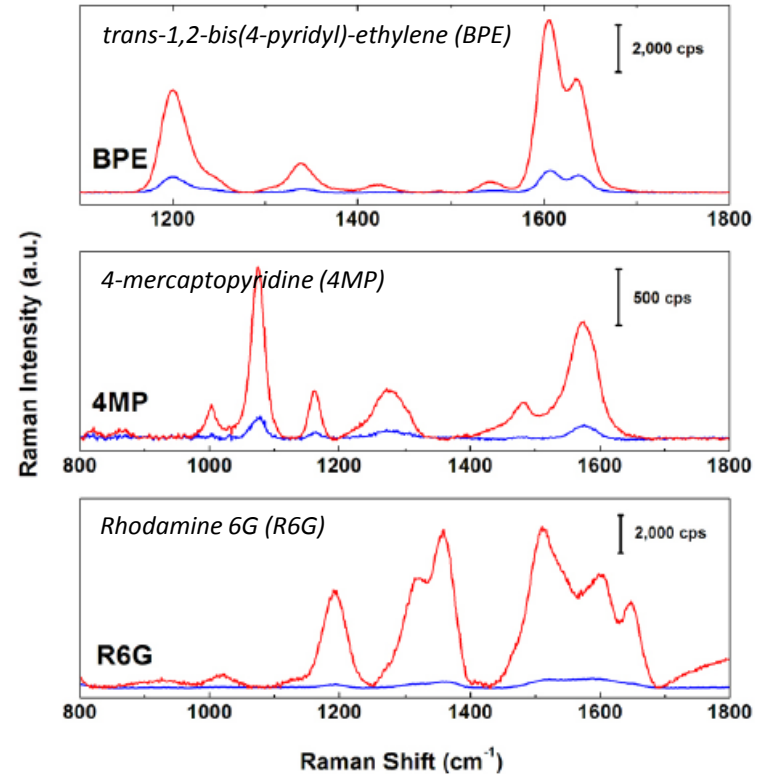
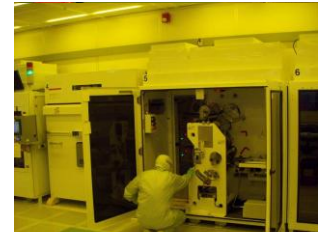


Figure S1: Three different molecules (BPE, 4MP and R6G, from top to bottom) were used in the comparison experiments. Red spectra: Raman spectra collected from the closed-finger samples. Blue spectra: Raman spectra collected from the open-finger samples. The spectral intensity for all three compounds was at least an order of magnitude larger for the closed-finger samples.



## HP Corvallis "Plastic Fab" – 1/3 meter wide web proto-manufacturing facility

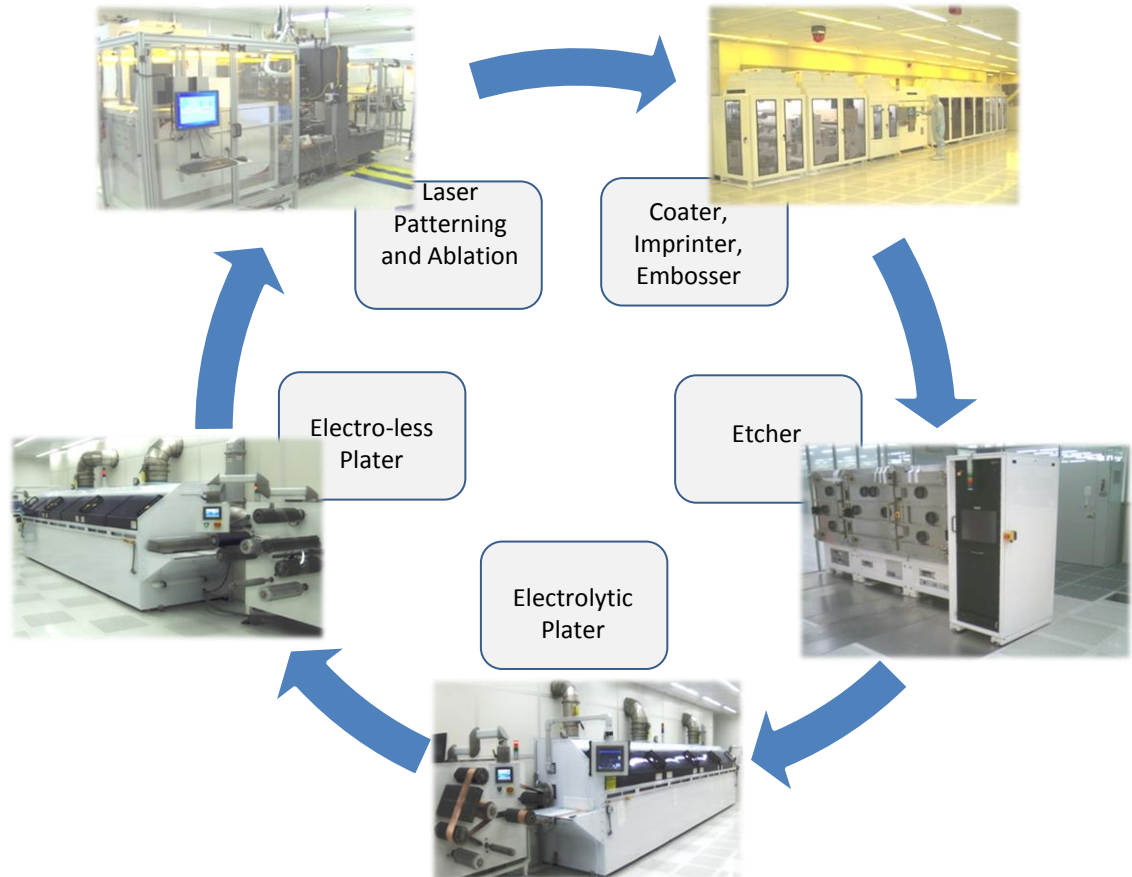
- Embossing lithography features  $< 5\mu\text{m}$
- **Compatibility with SAIL patterning and imprinting processes**
- Deposition and plating (both electrolytic and electro-less) of metals and dielectrics
- Laser patterning and template mastering
- Dry etch and plasma treatment



View from Take-up Reel



View from Input Reel







## HP Corvallis "Plastic Fab" – 1/3 meter wide web proto-manufacturing facility

Fabrication of devices continues to push the boundary of size and speed. High precision production of structures to control a range of capabilities enable products such as micro-fluidic devices and optical devices. With high repeatability and process control, the HP embossing technology provides cost-effective methods to pattern flexible substrates such as stainless-steel and PET.

The HP technology includes a range of materials for applications like fluidic management devices or optical filtering or patterning foils.

laser ablated roller, photo defined features

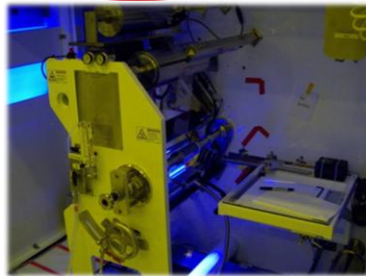
### Coating

Coatings can be applied in a number of ways such as gravure, slot die, or needle dispense

### Embossing/Curing

The integrated emboss/cure step assures maximum fidelity

**SAIL Compatible**

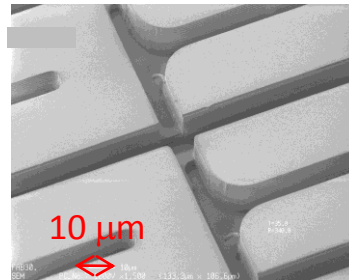


Feature	Min	Max	Units
Web Width	100	330	mm
Continuous patterned area	10 x 10	100 x 150	mm
# levels	1	4	levels
Depth	0.5	17	μm
Width	4	500	μm
Spacing	0.004	100	mm
Aspect Ratio	0.3	3.6	
Angle between lines and imprint direction	0	60	Degrees
Layer to layer overlap	0.5	--	μm
Transport rate	0.2	0.8	m/minute
Substrate thickness	20	250	μm
Substrates	PET, PEN, SS, ITO/PET, SS/PET		

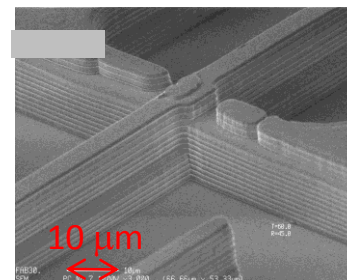
### Mastering Generation

Several methods are available for master stamp generation including cast polymer stamp,

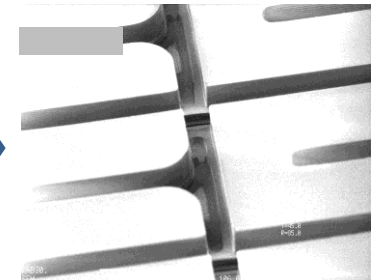
Three level Master

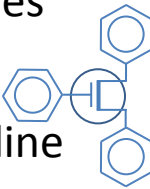


Stamp: Stamp resin shows every detail of master.



Final embossed feature





## Transferring lab-scale imprinting and embossing processes to Corvallis R2R proto line

### Starting R2R Scale-up of SERS substrates in Corvallis:

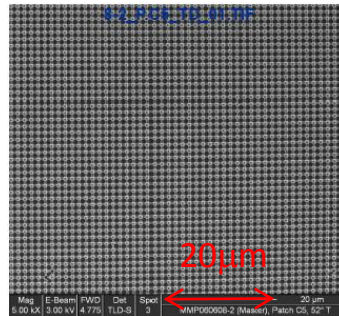
Masters formed via photolithography on glass substrates. (E-beam mastering will reduce feature size)

Embossed features are formed using cured embossing resins

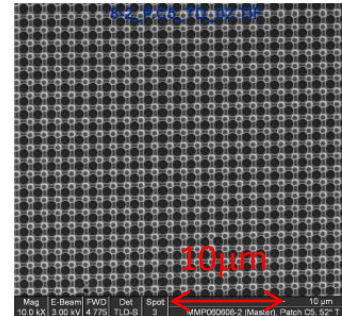
*Scale provides a path to high volume and low costs*



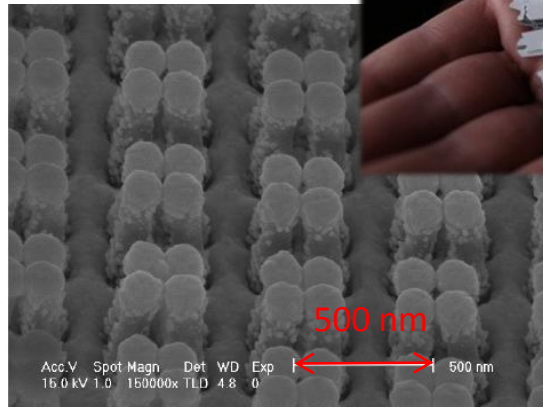
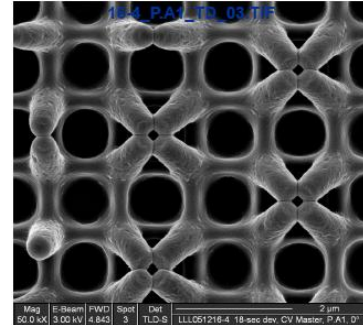
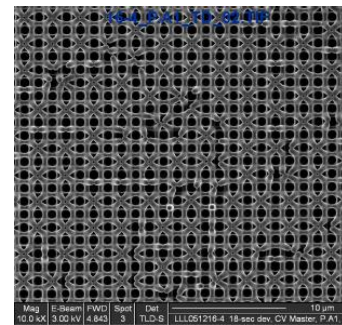
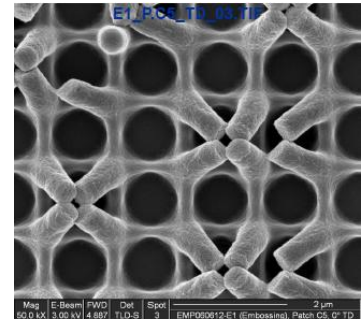
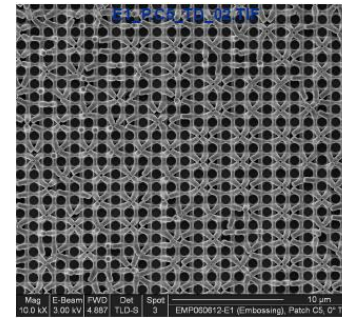
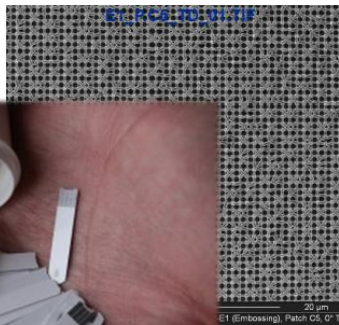
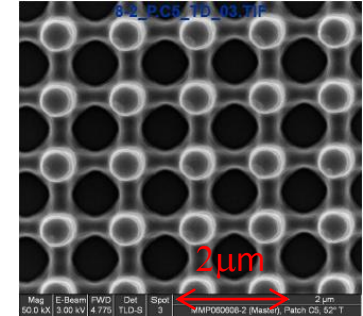
Master



Embossed arrays on plastic substrates



Magnified images



500 nm

Acc.V Spot Magn Det WD Exp  
15.0 kV 1.0 150000x TLD 4.8 0

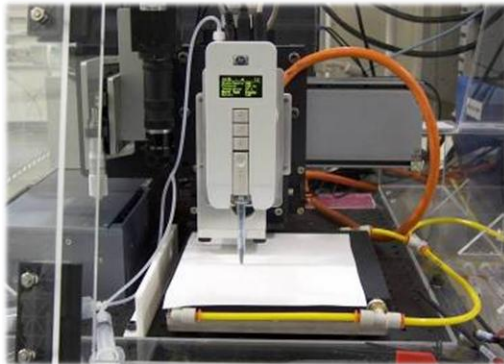




## Digital Fabrication, Printable Electronics, MEMS, and Materials

*The development of new “functional inks” and drop-on-demand (both thermal and piezo inkjet) printing processes – enabling the “printing of things”.*

### Precision drop-on-demand materials printing tools

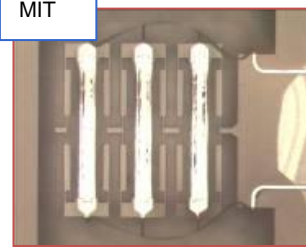


Cabot



Metals (PIJ)

MIT



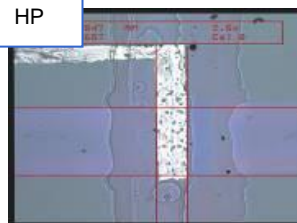
PZT actuators (TIJ)

HP



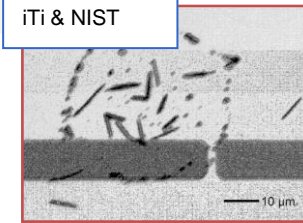
OLED (TIJ)

HP



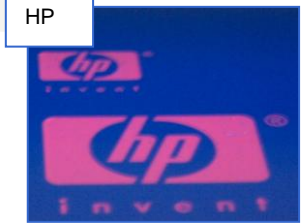
Inorganic TFT

iTi & NIST



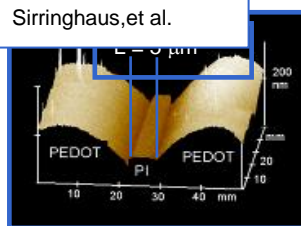
Nanowires (TIJ)

HP



Quantum dots (TIJ)

Sirringhaus, et al.



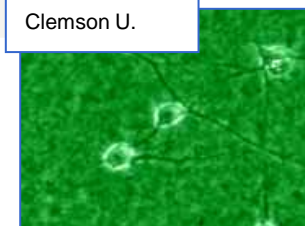
Organic TFT (PIJ)

HP



CNT's on paper (TIJ)

Clemson U.



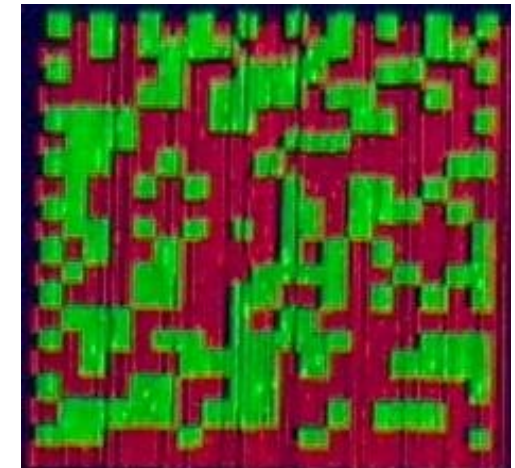
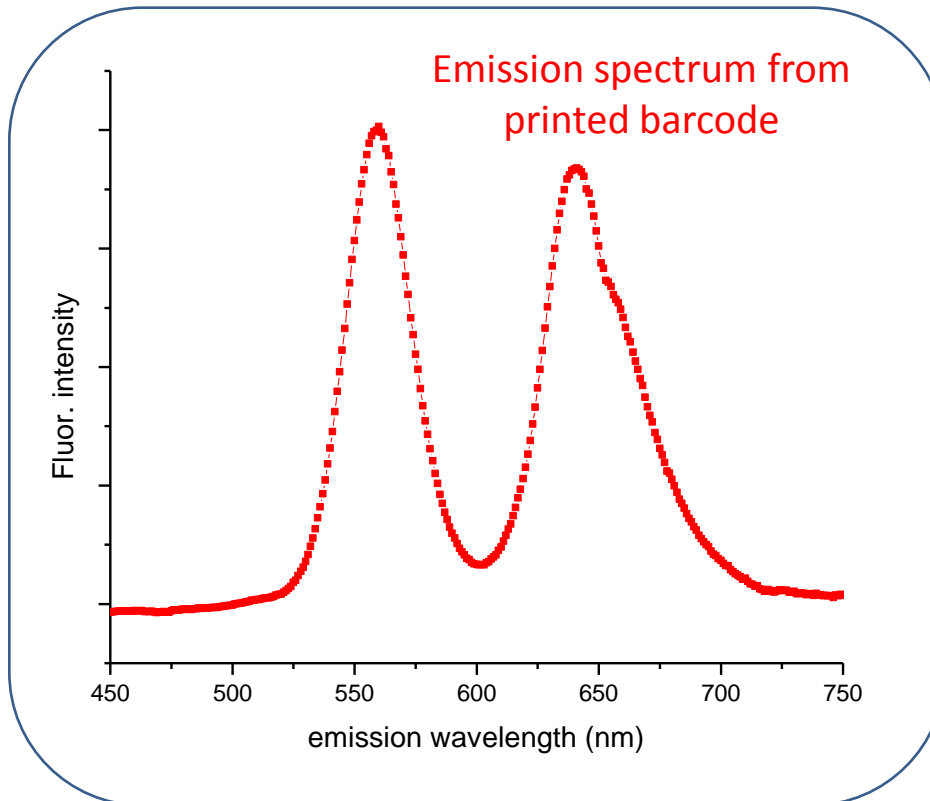
Printed neurons (TIJ)





## Inkjet printing and patterning of quantum dots:

- 2-D barcode printed with two QD “colors”
- Relative peak areas depend on sample position (spot sampled is larger than barcode pixels)
- Sharp, well-resolved peaks allow precise specification of emission wavelength and amplitude to generate covert “signature” (independent of positional information contained in 2-D barcode)



Barcode printed with QD-containing ink shown under UV (254 nm) illumination

- **Ink** = Water + humectant + surfactant
- **Print System** = HP 95 cartridge in DeskJet 6540 TIJ printer
- **Quantum Dots** = blue- and red-emitting CdSe:ZnS with TOPO ligand
- **Media** = Low-fluorescence office paper





## Summary:

HP's current research and development programs in flexible electronics, flexible displays, chem/bio sensing and other emerging technologies are:

- Leveraging existing and new nanoimprinting, embossing, and roll-to-roll manufacturing investments.
- Driving the development of new R2R-compatible patterning and processing approaches at all length scales (e.g. SAIL).
- Enabling the development of new devices and structures (e.g. flexible circuits and high enhancement factor SERS substrates).
- Providing a new continuous and scalable manufacturing platform for emerging technologies and new businesses.



## Acknowledgements:

Mike Delos-Reyes (CRV)

Tom Etheridge (CRV)

Randy Hoffman (CRV)

Tim Koch (CRV)

Jeff Mabeck (CRV)

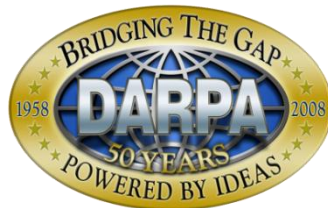
Warren Jackson (HPL)

Zhiyong Li (HPL)

Carl Taussig (HPL)

Stan Williams (HPL)

Peidong Yang (UC Berkeley)



*This work was partly supported  
by DARPA*



A scanning electron microscope (SEM) image showing a highly regular, periodic array of microstructures. Each unit cell consists of a central square-like shape with four arms extending outwards, resembling a cross or a star. The structures are arranged in a grid pattern. The word 'Questions?' is overlaid in the center in a large, white, sans-serif font.

# Questions?

Acc.V	Spot	Magn	Det	WD	Exp	1 μm
15.0 kV	2.0	50000x	TLD	5.5	3	